

Information for security clearance

(Applicants to provide information in the formats provided below)

Format 1: Details of companies/Bidders/Consortium Partner(s)

- i. Name of Project
- ii. Details of Companies / bidders / consortium partner (s)
- iii. Name of the Company/Consortium Members and their address, including Address of Indian subsidiary or branch/liaison office, etc.
- iv. List of Promoters/Board of Directors of bidders/consortium members with particulars like, Name, Address – Permanent & Present, Nationality, etc.
- v. Nature of the Company, whether private or State-owned entity.
- vi. Share holding details with particulars or entities/individuals having more than 5% stake with ownership details to the last layer indicating the promoting individual's time.
- vii. Parental organization and sister concerns.
- viii. Number of foreign national likely to be required for the execution of the project and their likely locations / deployment.
- ix. Presence of the investing companies/ Bidders/Consortium Partners and shareholders in the countries across the world, including collaborations with other foreign companies.

Format 2

(i) Proposed – For individuals (Board of Directors)

| S. No. | Full name of Board of Directors/ News editor | Date of Birth | Parentage | Complete Present & Permanent Address | Nationality | Passport Nos. and issue date, if any | Contact Address & telephone number, if any, |
|--------|--|---------------|-----------|--------------------------------------|-------------|--------------------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |

(ii) For Companies/firms

| S. No. | Full name of Companies and its foreign collaborator if any; Including details of board of Director as in (i) above | Date of Registration | Present & Permanent Address including addresses of head office, Regional offices and Registered Office. | Link with Other firm | Activities and other Businesses owned | Name of CEO s/ Partners (with details) | Shareholding pattern for Applicant Company and investing company. | Details of earlier approvals, if any (Ref. No. & date) | Ultimate ownership of shareholding companies and the investing company along with detailed particular of owners. | Presence of investing Companies and shareholders in countries across the worlds, including collaborations with other foreign companies. |
|--------|--|----------------------|---|----------------------|---------------------------------------|--|---|--|--|---|
| | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |

(iii) Parental Organization and sister concerns

Format 3: Project work details

- i. Nature and scope of the project work – types of civil / engineering works required, Project cost etc.
- ii. Location and coverage area of the project site with info regarding sensitive/vital/defence installation in the vicinity (5kms radius area).
- iii. Model of project allocation – PPP, BOT, BOOT etc.
- iv. Duration of the project – completion, commissioning, lease period.
- v. Machineries or heavy/sophisticated equipment needed for the project.
- vi. Total Manpower projection for the execution of the project.
- vii. Number of foreigners' likely/necessary to be involved for the project completion.
- viii. Earmarked place for stay of foreigners with details.

ADDITIONAL PERFORMA – SECURITY CLEARANCE DEVELOPMENT OF MAJOR AND MINOR PORT (as provided by Ministry of Shipping vide letter No. PD-24018/4/2010-PD.I dated 14th August 2012).

- i. Details of the firms (Indian/Foreign) including foreign Consortium involved in the development

(Applicants to provide information in the formats provided below as per Ministry of Shipping File No.24018/12/2014-SCC dated 12.08.2014)

ADDITIONAL PERFORMA FOR SECURITY CLEARANCE - 1

i. Details in respect of Company/ Firm (Indian/ Foreign)

| Sl. No. | Full name of Companies and its foreign collaborator if any; Including details of Board of Directors as in (ii) below | Date of Registration | Present & Permanent Address of Head Office, Regional Offices and Registered Office. | Joint Venture with other businesses owned | Activities and other Businesses owned | Name of CEO s/ Partners (with details) as in (ii) below | Shareholding pattern for Applicant Company (and investing company, if applicable) | Details of earlier approvals, if any (Ref. No. & date) | Ultimate ownership of shareholding companies (and the investing company, if applicable) along with detailed particulars of owners as in (ii) below |
|---------|--|----------------------|---|---|---------------------------------------|---|---|--|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |

Foreign investee/ partner company Self declaration regarding presence/ operation in China & Pakistan (if any)

ii. Details in respect of Directors/ Key Executives

| Sl. No. | Full Name of Board of Directors/ Executives | Present position held with date (since when) | Date of Birth | Parentage | Complete Present & Permanent Address | Nationality | Passport No. and issue date, if any | Contact Address & telephone number, if any |
|---------|---|--|---------------|-----------|--------------------------------------|-------------|-------------------------------------|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

- iii. Details of Shareholders (All firms/ companies/ entities to be included. Also, individuals having shareholding more than 10%)

| Sl. No. | Full Name | Parentage Father/Mother | Date of Birth | Permanent Address | Complete Present Address | Present position held | Nationality (if holding dual nationality, both must be clearly mentioned) | % of Shares held in Other Company (if any) than name of Company & complete address may be provided |
|---------|-----------|----------------------------|---------------|-------------------|--------------------------|-----------------------|--|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

ADDITIONAL PERFORMA – SECURITY CLEARANCE FOR BIDDERS

(as provided by Ministry of Shipping vide letter No. PD-24018/12/2014-SCC dated 12th February 2016).

I. Details in the respect of the Company/Firm (Indian/Foreign)

| Sl.No | Name of Firms/Bidders. | Date of registration of the company. | Address of Head Office, Regional Office and Registered Office. | Previous name of the company if any. | Details of earlier approvals, if any (ref.No & Date) |
|-------|------------------------|--------------------------------------|--|--------------------------------------|--|
| 1 | 2 | 3 | 4 | 5 | 6 |

II. Details of respect of Director

| Sl.No | Full Name of Board of Directors | Present position held with date (since when) | Date of Birth | Parentage | Present & Permanent Address | Nationality | Passport No. and issue date if any | Contact Address & telephone number |
|-------|---------------------------------|--|---------------|-----------|-----------------------------|-------------|------------------------------------|------------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

III. Details of Shareholders of applicant company

(All firms/companies/entities/individuals having shareholding more than 10%)

| Sl. No. | Full Name | Parentage Father/Mother | Date of Birth | Permanent Addresses | Present Addresses | Present position held in the company if any | Nationality (if holding dual nationality, both must be clearly mentioned) | % of shares held in the Company |
|---------|-----------|-------------------------|---------------|---------------------|-------------------|---|--|---------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

IV. Details of criminal cases, if any against the Company/Director(s) as per Annexure.

Self-declaration for company of Directors for whom security clearance is sought

- a. Name and address and registration number of the company
- b. Name and address of owners, promoters and directors of the company
 - 1. _____
 - 2. _____
 - 3. _____
 - 4. _____
- c. Is the company owners, promoters or directors listed above the subject of any
 - 1. Preventive detention proceedings (PSA/NSA etc.) : Yes/No
 - 2. Criminal proceedings : Yes/No.
- d. If, Yes, please provide the following details
 - 1. Detention/Case/FIR/Warrant number:
 - 2. Police station/District/Agency:
 - 3. Section of law
 - 4. Name and place of the court:
- e. The above mentioned details are in respect of both India and any other foreign country.

Note: The above self-declaration is required to be filled and signed by the authorized signatory of the company.